

# Incidence of Hospitalization for Vaccine-Preventable Infections in Children Following Solid Organ Transplant and Associated Morbidity, Mortality, and Costs

Amy G. Feldman, MD, MSCS; Brenda L. Beaty, MSPH; Donna Curtis, MD, MPH; Elizabeth Juarez-Colunga, PhD; Allison Kempe, MD, MPH

 Supplemental content

**IMPORTANCE** Pediatric transplant recipients are at risk for vaccine-preventable infections owing to immunosuppression, suboptimal response to vaccines before and after transplant, and potential underimmunization if transplant occurred early in life. However, the incidence and burden of illness from vaccine-preventable infections in this population is unknown.

**OBJECTIVES** To evaluate in pediatric solid organ transplant recipients the number of hospitalizations for vaccine-preventable infections in the first 5 years after transplant and to determine the associated morbidity, mortality, and costs.

**DESIGN, SETTING, AND PARTICIPANTS** A retrospective cohort study from January 1, 2004, to December 31, 2011, with 5 years of follow-up per participant (unless they died during the study period). The participants of this multicenter study through the Pediatric Health Information System were solid organ transplant recipients who were younger than 18 years at the time of transplant. Analysis began in July 2017.

**EXPOSURES** Transplant.

**MAIN OUTCOMES AND MEASURES** Hospitalizations for a vaccine-preventable infection during the first 5 years after transplant were ascertained using *International Classification of Diseases, Ninth Revision*, and *International Statistical Classification of Diseases and Related Health Problems, Tenth Revision*, clinical modification diagnosis codes. Data were collected on clinical care, outcomes, and costs during these hospitalizations.

**RESULTS** Of 6980 transplant recipients identified, there were 3819 boys (54.7%), and the mean (SD) age at transplant was 8 (6.2) years. Overall, 1092 patients (15.6%) had a total of 1490 cases of vaccine-preventable infections. There were 195 of 1490 cases (13.1%) that occurred during transplant hospitalization. The case fatality rate was 1.7% for all infections. Excluding infections that occurred during transplant hospitalization (when all patients go to the intensive care unit), 213 of 1257 patients (17.0%) were hospitalized with a vaccine-preventable infection requiring intensive care. In multivariable analysis, age younger than 2 years at time of transplant and receipt of a lung, heart, intestine, or multivisceral organ were positively associated with increased risk of a hospitalization from a vaccine-preventable infection. Transplant hospitalizations complicated by vaccine-preventable infections were \$120 498 more expensive (median cost) than transplant hospitalizations not complicated by vaccine-preventable infections.

**CONCLUSIONS AND RELEVANCE** Hospitalization for vaccine-preventable infections occurred in more than 15% of solid organ transplant recipients in the first 5 years after transplant at a rate of up to 87 times higher than in the general population. There was significant morbidity, mortality, and costs from these infections, demonstrating the importance of immunizing all transplant candidates and recipients. Further research on improving immunization delivery, preventing nosocomial infections, and monitoring response to vaccines in the transplant population is needed.

JAMA Pediatr. doi:10.1001/jamapediatrics.2018.4954  
Published online January 14, 2019.

**Author Affiliations:** Author affiliations are listed at the end of this article.

**Corresponding Author:** Amy G. Feldman, MD, MSCS, Digestive Health Institute, Section of Gastroenterology, Hepatology and Nutrition, Children's Hospital Colorado, University of Colorado Denver School of Medicine, 13123 E 16 Ave, Ste B290, Aurora, CO 80045 (amy.feldman@childrenscolorado.org).

Infectious diseases are a well-known cause of morbidity and mortality in immunocompromised transplant recipients.<sup>1</sup> Some of these infections are potentially preventable by vaccines.<sup>2-11</sup> Unfortunately, many pediatric transplant recipients are not fully immunized at the time of transplant.<sup>12-18</sup> Additionally, transplant candidates with end-stage organ disease and transplant recipients taking immunosuppressive medications may not mount equal immune responses to immunizations as healthy individuals<sup>19-22</sup> and may also have a more rapid decrease in antibody titers than control populations.<sup>23-28</sup> For these reasons, pediatric solid organ transplant recipients are likely to be at increased risk for vaccine-preventable infections (VPIs).<sup>10</sup> However, the true incidence, morbidity, mortality, and costs of VPIs in pediatric solid organ transplant recipients remain unknown.

To address this critical gap in knowledge, we used the Pediatric Health Information System (PHIS) database to determine the incidence of hospitalizations for VPIs in the solid organ transplant population. As in our prior study focused on liver transplant recipients,<sup>10</sup> we chose to include respiratory syncytial virus (RSV) in patients younger than 2 years in this study. Although RSV is not truly a VPI (as there is no vaccine to prevent RSV), given that it is one of the most common infectious causes for hospitalization in children and that a monoclonal antibody (palivizumab) exists, which can reduce risk in select populations,<sup>29</sup> we felt it was important to include.

The goals of this study were to determine (1) the number of hospitalizations from RSV or a VPI in the pediatric solid organ transplant population in the first 5 years following transplant; (2) the morbidity, mortality, and costs associated with these hospitalizations; and (3) demographic and health factors that are associated with the number of infections (RSV or VPI) in the 5 years following transplant. We hypothesized that there would be a substantial number of hospitalizations for RSV or a VPI posttransplant with substantial morbidity, mortality, and costs and that certain subgroups of solid organ transplant recipients would be at greatest risk for RSV or a VPI.

## Methods

### Pediatric Health Information System

We conducted a multicenter retrospective cohort study of pediatric solid organ transplant recipients using data from the PHIS. The PHIS (Children's Hospital Association, Kansas City, Kansas) is an administrative database that contains inpatient billing data from 45 not-for-profit tertiary care pediatric hospitals in the United States and includes data for more than 6 million children. On entry into the database, patients are deidentified and subsequently assigned a unique identification number allowing for longitudinal analyses across hospitalizations. The PHIS database includes patient demographics; *International Classification of Diseases, Clinical Modification*, (*ICD-CM*) diagnoses and Clinical Transaction Classification procedure codes; discharge disposition; and hospital charges. Data quality and reliability are assured jointly by the Children's Hospital Association and the participating hospitals.<sup>30,31</sup> In accordance with the Common Rule (45 CFR 46.102[f]) and the policies of the University of Colorado institutional review board,

## Key Points

**Question** Are vaccine-preventable infections a common cause for hospitalization after pediatric solid organ transplant?

**Findings** In this multicenter cohort study of 6980 pediatric solid organ transplant recipients at a Pediatric Health Information System center, 16% of individuals had at least 1 hospitalization for a vaccine-preventable infection in the first 5 years after transplant. Children who received transplants when they were younger than 2 years and transplant recipients of lung, intestine, heart, and multivisceral organs were at increased risk for hospitalization with a vaccine-preventable infection.

**Meaning** Vaccine-preventable infections are common after pediatric transplant; therefore, maximal efforts must be made to ensure complete immunization of transplant candidates and recipients.

this study using a deidentified data set was not subject to institutional review board approval, and the need for informed consent was waived.

### Study Participants

This analysis included all patients who were younger than age 18 years when they underwent a heart, lung, liver, kidney, intestine, or multivisceral transplant at a PHIS center between January 1, 2004, and December 31, 2011. Analysis began in July 2017. Transplant recipients were identified using *ICD-9-CM* procedural codes for transplant (eTable 1 in the [Supplement](#)). *ICD-9* codes were used for all hospital days that occurred before October 1, 2015; thereafter, *ICD-10* codes were used. All patients in the data set had the potential for 5 years of follow-up (unless they died during the 5-year period). Although we had data on child ethnicity (Hispanic/not Hispanic/unknown), given the large proportion of unknown (3077 [44%]), we did not include it in our analysis or modeling.

### Outcome Variable

The primary outcome was hospitalization for RSV or a VPI in the first 5 years after transplant. Respiratory syncytial virus infections that occurred in children 2 years or older were excluded from the analysis. Hospitalizations for RSV/VPI (RSV, influenza, pneumococcus, meningococcus, *Haemophilus influenzae*, human papillomavirus, varicella, pertussis, rotavirus, measles, mumps, and hepatitis A) were defined using specific *ICD-9* and *ICD-10 CM* diagnosis codes as reported in eTable 1 in the [Supplement](#). Secondary outcomes included length of hospital stay, need for intensive care unit management ("ICU Flag" coded by PHIS), need for mechanical ventilation ("Ventilation Flag" coded by PHIS), and median adjusted hospitalization costs (using the PHIS variable "Adj Total RCC Costs").<sup>32</sup>

### Statistical Analysis

Descriptive statistics were used to characterize the individuals by age, sex, ethnicity, race, and organ transplanted. Comparisons, using independent *t* tests and Wilcoxon tests as appropriate, were made between the group of patients who never

had a hospitalization for RSV/VPI and those who did. To capture different exposure times in the transplant population (as some transplant recipients may die within the first 5 years after transplant), we calculated the mean cumulative function (number of cases per total person-year) for each individual infection and for RSV/VPI as a combined group. We used the Wilcoxon rank sum test to compare median adjusted hospitalization cost and length of hospitalization for individuals with an initial transplant hospitalization complicated by an RSV/VPI with individuals whose initial transplant hospitalizations were not complicated by RSV/VPI.

To account for the possibility of repeated infections within a child, as well as varying lengths of follow-up time for each child, we used a recurrent events analysis with a random effect for patient and a random effect for hospital (R version 3.5.1 frailty pack package [R Project for Statistical Computing]). Because death appeared informative for recurrent hospitalizations, we considered a joint model for hospitalizations and death (or censoring) but were unable to fit such a model (eMethods in the Supplement). Thus, to minimize biases,<sup>33,34</sup> we fit 2 separate models: a model for the recurrent hospitalizations among those individuals who survived the entire follow-up period and a model for death as a binary outcome. Variables included in the initial model included patient sex, race, age at transplant, organ transplanted, calendar quarter of transplant, and year of transplant. Because there was an association between the organ transplanted and the age of the child at transplant, we tested for an interaction between these 2 variables. We removed nonsignificant variables 1 at a time, removing the least significant variable at each iteration, until all effects were significant at  $P < .05$ . We kept year of transplant in the model to account for any temporal trend but did not report hazard ratios (HRs) by year. Analyses were performed using SAS 9.4 (SAS Inc) and R version 3.5.1 (R Project for Statistical Computing).

## Results

We identified 6980 children (3819 boys [54.7%], mean [SD] age at transplant was 8 [6.2] years) who underwent solid organ transplant at a PHIS center between January 1, 2004, and December 31, 2011. Of these, 2583 (37.0%) were kidney recipients; 2095 (30.0%), liver; 1691 (24.2%), heart; 287 (4.1%), lung; 230 (3.3%), multivisceral; and 94 (1.3%), intestinal. Of the 230 multivisceral recipients, 151 (65.7%) were liver/intestine; 31 (13.5%), liver/kidney; 25 (10.9%), heart/lung; 8 (3.5%), heart/kidney; and 15 (6.5%), other combinations. In the first 5 years after transplant, 1092 individuals (15.6%) had a hospitalization for RSV/VPI, 173 (15.8%) of which occurred during the initial transplant hospitalization. These 1092 individuals had 1490 total cases of RSV/VPI. Excluding cases of RRSV/VPI that occurred during initial transplant hospitalization (where primary diagnosis code was transplantation), 1136 of 1257 remaining cases (90.4%) of RSV/VPI had a primary diagnosis code consistent with RSV/VPI (eg, septicemia, fever) as opposed to a primary diagnosis code inconsistent with RSV/VPI (eg, transplant rejection, gastrostomy complication). Patients who had a hospitalization for RSV/VPI were more likely to be younger

than 2 years at time of transplant compared with those who were 2 years or older at time of transplant (25.0% vs 12.0%; 13.1% difference; 95% CI, 11.0%-15.2%;  $P < .001$ ; **Table 1**).

### RSV/VPIs Resulting in Hospitalizations After Transplant

The most common RSV/VPIs following solid organ transplant were influenza (518 of 6980 [7.4%]), rotavirus (260 of 6980 [3.7%]), varicella (144 of 6980 [2.1%]), pneumococcus (142 of 6980 [2.0%]), and RSV (129 of 6980 [1.8%]). Respiratory syncytial virus/VPIs occurred a mean (SD) of 1.5 (1.4) years (median [interquartile range {IQR}], 1.1 [0.3-2.5] years) posttransplant. Overall, 195 RSV/VPI cases (13.1%) occurred during the initial transplant hospitalization, and 700 RSV/VPI cases (47.0%) occurred within the first year posttransplant (**Table 2** and **Figure**).

### Morbidity, Mortality, and Costs From RSV/VPIs

**Table 3** displays the morbidity and mortality from RSVs/VPIs in the first 5 years after transplant. The overall case fatality rate for all RSVs/VPIs was 1.7%. Excluding RSVs/VPIs that occurred during initial transplant hospitalization, the mean (SD) and median (IQR) lengths of stay for RSV/VPI hospitalizations were 9 (19) and 4 (2-9) days, respectively, 101 of 1257 patients (8.0%) required mechanical ventilation, and 213 patients (17.0%) required intensive care unit-level care.

Transplant hospitalizations complicated by RSV/VPI were \$120 498 more expensive (median cost) than transplant hospitalizations not complicated by RSV/VPI (median [IQR], \$268 626 [\$136 162-\$507 187] vs \$148 128 [\$80 494-\$262 137];  $P < .001$ ). In addition, transplant hospitalizations complicated by RSV/VPI had a longer median length of stay than transplant hospitalizations not complicated by RSV/VPI (median [IQR], 55 [30-109] days vs 16 [9-38] days;  $P < .001$ ).

### Risk Factors for Being Hospitalized With RSV/VPI in the First 5 Years After Transplant

Age younger than 2 years at time of transplant (HR, 2.2; 95% CI, 1.9-2.5) and intestinal (HR, 2.8; 95% CI, 1.8-4.4), multivisceral (HR, 2.2; 95% CI, 1.6-3.1), lung (HR, 2.1; 95% CI, 1.5-2.9), and heart (HR, 1.4; 95% CI, 1.2-1.7) transplant were associated with an increased HR for hospitalization with RSV/VPI after controlling for year of transplant and accounting for repeated hospitalizations on the same individual and hospital variability. There was no interaction between age group and organ transplanted (**Table 4**). Additional details about the hospitalization model and death model are reported in eTable 2, eTable 3, eFigure 1, and eFigure 2 in the Supplement.

## Discussion

In this national multicenter cohort analysis of almost 7000 pediatric solid organ transplant recipients, we found that at least 15% of transplant recipients were hospitalized in the first 5 years after transplant with RSV/VPI. Overall, influenza, rotavirus, varicella, pneumococcus, and RSV were the most common VPIs resulting in posttransplant hospitalization. Transplant hospitalizations complicated by RSV/VPI were significantly more

**Table 1. Characteristics of 6980 Pediatric Patients Who Underwent Solid Organ Transplant**

| Variable                                    | No. (%)            |                                    |                                     | P Value for Any RSV/VPI |
|---|--------------------|------------------------------------|-------------------------------------|-------------------------|
|   | Overall (N = 6980) | No RSV/VPI (n = 5888) <sup>a</sup> | Any RSV/VPI (n = 1092) <sup>a</sup> |                         |
| Age at transplant, y                        |                    |                                    |                                     |                         |
| <2  | 1970 (28.2)        | 1477 (75.0)                        | 493 (25.0)                          | <.001                   |
| ≥2  | 5010 (71.8)        | 4411 (88.0)                        | 599 (12.0)                          |                         |
| Sex   |                    |                                    |                                     |                         |
| Male  | 3819 (54.7)        | 3224 (84.4)                        | 595 (15.6)                          | .87                     |
| Female                                      | 3161 (45.3)        | 2664 (84.3)                        | 497 (15.7)                          |                         |
| Race  |                    |                                    |                                     |                         |
| Other <sup>b</sup>                          | 1203 (17.2)        | 994 (82.6)                         | 209 (17.4)                          | .08                     |
| White                                       | 4681 (67.1)        | 3980 (85.0)                        | 701 (15.0)                          |                         |
| Black                                       | 1096 (15.7)        | 914 (83.4)                         | 182 (16.6)                          |                         |
| Organ transplanted                          |                    |                                    |                                     |                         |
| Liver                                       | 2095 (30.0)        | 1753 (83.7)                        | 342 (16.3)                          | <.001                   |
| Lung  | 287 (4.1)          | 227 (79.1)                         | 60 (20.9)                           |                         |
| Heart                                       | 1691 (24.2)        | 1382 (81.7)                        | 309 (18.3)                          |                         |
| Intestine                                   | 94 (1.4)           | 64 (68.1)                          | 30 (31.9)                           |                         |
| Kidney                                      | 2583 (37.0)        | 2292 (88.7)                        | 291 (11.3)                          |                         |
| Multivisceral                               | 230 (3.3)          | 170 (73.9)                         | 60 (26.1)                           |                         |
| Quarter of transplant                       |                    |                                    |                                     |                         |
| January to March                            | 1675 (24.0)        | 1384 (82.6)                        | 291 (17.4)                          | .10                     |
| April to June                               | 1791 (25.7)        | 1506 (84.1)                        | 285 (15.9)                          |                         |
| July to September                           | 1878 (26.9)        | 1601 (85.3)                        | 277 (14.8)                          |                         |
| October to December                         | 1636 (23.4)        | 1397 (85.4)                        | 239 (14.6)                          |                         |
| Year of transplant                          |                    |                                    |                                     |                         |
| 2004  | 775 (11.1)         | 665 (85.8)                         | 110 (14.2)                          | .004                    |
| 2005  | 791 (11.3)         | 662 (83.7)                         | 129 (16.3)                          |                         |
| 2006  | 872 (12.5)         | 740 (84.9)                         | 132 (15.1)                          |                         |
| 2007  | 736 (10.5)         | 696 (83.3)                         | 140 (16.8)                          |                         |
| 2008  | 910 (13.0)         | 744 (81.8)                         | 166 (18.2)                          |                         |
| 2009  | 974 (14.0)         | 798 (81.9)                         | 176 (18.1)                          |                         |
| 2010  | 942 (13.5)         | 824 (87.5)                         | 118 (12.5)                          |                         |
| 2011  | 880 (12.6)         | 759 (86.3)                         | 121 (13.8)                          |                         |
| Died during transplant hospitalization      | 254 (3.6)          | 246 (96.9)                         | 8 (3.2)                             | NA                      |
| Died at any point during 5 y follow-up      | 540 (7.7)          | 470 (87.0)                         | 70 (13.0)                           | NA                      |
| Had a VPI during transplant hospitalization | 173 (2.5)          | NA                                 | 173 (100.0)                         | NA                      |

Abbreviations: NA, not applicable; RSV, respiratory syncytial virus; VPI, vaccine-preventable infection.

<sup>a</sup> Percentages were calculated using the overall study population (N = 6980).

<sup>b</sup> Other includes Asian (3.4%), Pacific Islander/other (11.2%), and missing (2.7%).

**Table 2. Infections During Hospitalizations for Respiratory Syncytial Virus or a Vaccine-Preventable Infection in the First 5 Years After Transplant**

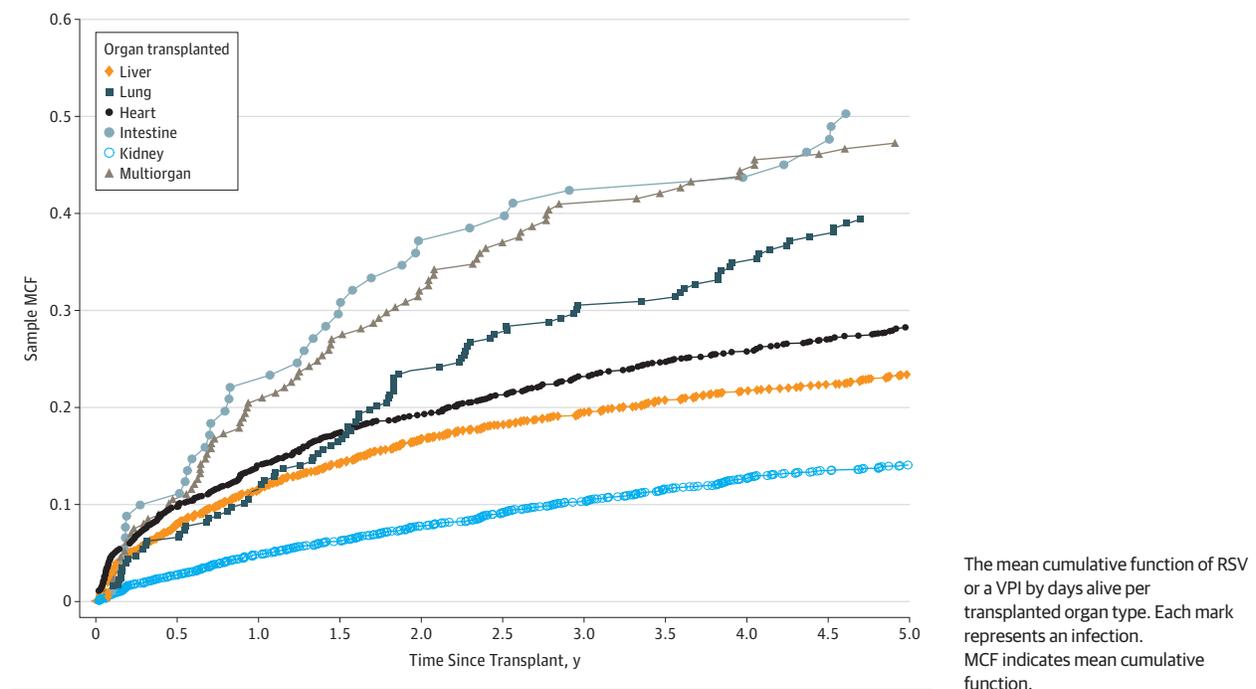
| Infection     | Years Posttransplant |               |            |                  | Total Cases, No. (%)                       |   |                           |
|---------------|----------------------|---------------|------------|------------------|--|---|---------------------------|
|               | Mean (SD)            | Median (IQR)  | Cases, No. | Individuals, No. | Occurred During Transplant Hospitalization | Occurred During First Posttransplant Year | MCF at 1 y Posttransplant |
| Influenza     | 1.9 (1.4)            | 1.7 (0.6-2.9) | 614        | 518              | 52 (8.5)                                   | 218 (35.5)                                | 0.03                      |
| Rotavirus     | 1.0 (1.1)            | 0.6 (0.1-1.3) | 286        | 260              | 38 (13.3)                                  | 181 (63.3)                                | 0.03                      |
| Varicella     | 2.0 (1.3)            | 1.9 (0.8-3.1) | 163        | 144              | 6 (3.7)                                    | 46 (28.2)                                 | 0.007                     |
| Pneumococcus  | 1.5 (1.4)            | 1.1 (0.3-2.6) | 151        | 142              | 33 (21.9)                                  | 72 (47.7)                                 | 0.01                      |
| RSV           | 0.4 (0.4)            | 0.3 (0-0.8)   | 143        | 129              | 25 (17.5)                                  | 126 (88.1)                                | 0.02                      |
| All RSVs/VPIs | 1.5 (1.4)            | 1.1 (0.3-2.5) | 1490       | 1092             | 195 (13.1)                                 | 700 (47.0)                                | 0.1                       |

Abbreviations: IQR, interquartile range; MCF, mean cumulative function; RSV, respiratory syncytial virus; VPI, vaccine-preventable infection.

expensive and resulted in longer lengths of stay than transplant hospitalizations not complicated by RSV/VPI. Children who received transplants before age 2 years and children who

received lung, heart, intestine and multivisceral transplants were most likely to be hospitalized for RSV/VPI in the 5 years after transplant. This is consistent with the fact that children

Figure. Incidence of Respiratory Syncytial Virus (RSV)/Vaccine-Preventable Infection (VPI) by Organ Type



who receive transplants before their second birthday are less likely to have received their full set of immunizations by the time of transplant, and children who undergo lung, heart, intestine, and multivisceral transplant receive high levels of immunosuppression to prevent graft rejection.

Although there are case series discussing the morbidity from individual infections in pediatric transplant recipients,<sup>2-9,35</sup> to our knowledge this is the first study to assess the burden of illness from all VPIs across the entire pediatric solid organ transplant population. We found that the morbidity and mortality from VPIs was much greater than that reported in the literature on the general pediatric population. For RSV, the rate of hospitalization in the first year after transplant was 6 times greater than the expected annual rate of hospitalization in the general pediatric population of children younger than 5 years (1.8% vs 0.3%),<sup>36</sup> and the death rate was 53 times greater than in the general pediatric population (2.1% vs 0.04%).<sup>29</sup> For influenza, the rate of hospitalization in the first year after transplantation was 52 times greater than the expected annual rate of hospitalization for influenza in individuals younger than 19 years (3.1% vs 0.06%),<sup>37</sup> and the death rate was 4 times greater (1.6% vs 0.4%).<sup>38,39</sup> For pneumococcus, the rate of hospitalization in the first year after transplant was 2 times greater than the expected annual rate of hospitalization in the general pediatric population (1% vs 0.5%),<sup>40</sup> and the death rate was 17 times greater (3.3% vs 0.2%).<sup>40</sup> For rotavirus, the rate of hospitalization in the first year after transplant was 87 times greater than the expected annual rate of hospitalization in the general pediatric population (2.6% vs 0.03%),<sup>41</sup> and the death rate was 23 times greater (1.4% vs 0.06%).<sup>42</sup>

In the present study, we found that 13.1% of RSV/VPI cases occurred during the initial transplant hospitalization and resulted in significant morbidity and mortality. This is consis-

tent with literature that suggests that early infections post-transplant are important causes of morbidity, mortality, and graft loss in adult patients.<sup>43,44</sup> Infections during the transplant hospitalization can arise if a child (1) enters transplant with an active infection (less likely as unless a child has acute organ failure, transplant is usually delayed until the patient is free from active infection); (2) enters transplant with colonization or an asymptomatic infection that becomes symptomatic with initiation of immunosuppression; (3) acquires a donor-derived infection from the allograft; or (4) acquires a nosocomial infection in the peritransplant period.<sup>1,44,45</sup>

Interestingly, in our study, there were 163 cases of varicella. Currently, the Infectious Diseases Society of America recommends that posttransplant “the measles, mumps and rubella (MMR) vaccine and the varicella vaccine should generally not be administered except for in children without evidence of immunity who are renal or liver transplant recipients, are receiving minimal or no immunosuppression, and have no recent graft rejection.”<sup>46</sup> These recommendations are based on concerns that (1) administration of live vaccines may result in life-threatening infection with the viral strain pathogen in immunocompromised patients and (2) immunosuppression could prevent development of a protective immune response. However, there are studies that demonstrate that in certain transplant recipients on lower levels of immunosuppression, live immunizations can be safely and effectively administered.<sup>47,48</sup> Among the transplant community, there continues to be variability in practice about use of live vaccines posttransplant. In a 2016 survey of pediatric hepatologists, 26% of respondents sometimes/always recommended live vaccines posttransplant.<sup>49</sup> In an era of decreasing herd immunity owing to vaccine refusal, it will be important to conduct multicenter large studies to understand under what circumstances live

**Table 3. Inpatient Morbidity and Mortality From Respiratory Syncytial Virus or a Vaccine-Preventable Infection**

| Infection            | Total Hospitalizations | LOS, d    |              | No. (%)             |                        |                               |
|----------------------|------------------------|-----------|--------------|---------------------|------------------------|-------------------------------|
|                      |                        | Mean (SD) | Median (IQR) | Intensive Care Unit | Mechanical Ventilation | Deaths During Hospitalization |
| <b>Influenza</b>     |                        |           |              |                     |                        |                               |
| All                  | 614                    | 13 (33)   | 3 (1-8)      | NA                  | NA                     | 10 (1.6)                      |
| Tx hosp              | 52                     | 82 (69)   | 61 (30-108)  | NA                  | NA                     | 3 (5.8)                       |
| Non-tx hosp          | 562                    | 7 (16)    | 3 (1-6)      | 85 (15.1)           | 40 (7.1)               | 7 (1.2)                       |
| <b>Varicella</b>     |                        |           |              |                     |                        |                               |
| All                  | 163                    | 9 (24)    | 4 (2-6)      | NA                  | NA                     | 1 (0.6)                       |
| Tx hosp              | 6                      | 91 (74)   | 70 (30-133)  | NA                  | NA                     | 1 (16.7)                      |
| Non-tx hosp          | 157                    | 6 (12)    | 4 (2-6)      | 7 (4.5)             | 2 (1.3)                | 0 (0.0)                       |
| <b>Pneumococcal</b>  |                        |           |              |                     |                        |                               |
| All                  | 151                    | 26 (39)   | 10 (6-31)    | NA                  | NA                     | 5 (3.3)                       |
| Tx hosp              | 33                     | 70 (57)   | 49 (35-85)   | NA                  | NA                     | 2 (6.1)                       |
| Non-tx hosp          | 118                    | 13 (18)   | 8 (5-14)     | 40 (33.9)           | 26 (22.0)              | 3 (2.5)                       |
| <b>Rotavirus</b>     |                        |           |              |                     |                        |                               |
| All                  | 286                    | 26 (54)   | 9 (4-22)     | NA                  | NA                     | 4 (1.4)                       |
| Tx hosp              | 38                     | 101 (102) | 66 (41-118)  | NA                  | NA                     | 0 (0.0)                       |
| Non-tx hosp          | 248                    | 15 (28)   | 8 (4-15)     | 43 (17.3)           | 19 (7.7)               | 4 (1.6)                       |
| <b>RSV</b>           |                        |           |              |                     |                        |                               |
| All                  | 143                    | 24 (52)   | 6 (3-18)     | NA                  | NA                     | 3 (2.1)                       |
| Tx hosp              | 25                     | 101 (90)  | 69 (35-132)  | NA                  | NA                     | 1 (4.0)                       |
| Non-tx hosp          | 118                    | 8 (8)     | 5 (3-10)     | 27 (22.8)           | 9 (7.6)                | 2 (1.7)                       |
| <b>All RSVs/VPIs</b> |                        |           |              |                     |                        |                               |
| All                  | 1430                   | 18 (41)   | 5 (2-14)     | NA                  | NA                     | 24 (1.7)                      |
| Tx hosp              | 173                    | 84 (80)   | 55 (30-109)  | NA                  | NA                     | 8 (4.6)                       |
| Non-tx hosp          | 1257                   | 9 (19)    | 4 (2-9)      | 213 (17.0)          | 101 (8.0)              | 16 (1.3)                      |

Abbreviations: IQR, interquartile range; LOS, length of stay; NA, not applicable; non-tx hosp, hospitalizations that occurred after patient was discharged from initial hospitalization when the transplant was performed; RSV, respiratory

syncytial virus; VPI, vaccine-preventable infection; tx hosp, the hospitalization during which transplant occurred.

**Table 4. Model Hospitalizations of Respiratory Syncytial Virus or a Vaccine-Preventable Infection Among Survivors in the 5 Years After Pediatric Solid Organ Transplant<sup>a</sup>**

| Variable                    | HR (95% CI)   |
|-----------------------------|---------------|
| <b>Age at transplant, y</b> |               |
| <2                          | 2.2 (1.9-2.5) |
| ≥2                          | 1 [Reference] |
| <b>Organ</b>                |               |
| Heart                       | 1.4 (1.2-1.7) |
| Intestine                   | 2.8 (1.8-4.4) |
| Liver                       | 1.1 (0.9-1.3) |
| Lung                        | 2.1 (1.5-2.9) |
| Multivisceral               | 2.2 (1.6-3.1) |
| Kidney                      | 1 [Reference] |

Abbreviation: HR, hazard ratio.

<sup>a</sup> Controlled for calendar year of transplant (fixed). See eMethods, eTables 2 and 3, and eFigures 1 and 2 in the Supplement for more details.

vaccines can be safely and effectively administered posttransplant.

Unlike in the adult population where postoperative nosocomial infections are well studied through the National Surgical Quality Improvement Program, data on pediatric posttrans-

plant nosocomial infections are limited to single-center case studies.<sup>2-9,35</sup> Prospective studies in pediatric transplant recipients are needed to understand the epidemiology, risk factors (including impact of immunosuppressive regimens), and outcomes of nosocomial VPIs in the immediate posttransplant period.<sup>44,50,51</sup> These data could be collected by expanding information collected by the current Scientific Registry of Transplant Recipients, through the National Surgical Quality Improvement Program, or through development of a novel national transplant prospective open cohort study (perhaps modeled after the Swiss Transplant Cohort Study or the Spanish Research Network of Infection in Transplantation).<sup>52,53</sup> These data would be critical to help guide pretransplant and posttransplant policies on infectious disease screening, prophylaxis, and medical practices (such as isolation or reverse isolation).

Overall, the huge burden of illness from VPIs shown in this article should stress to specialty and primary care physicians the critical importance of ensuring that all transplant patients receive age-appropriate immunizations. Unfortunately, underimmunization of transplant patients remains a significant problem with less than half of all transplant recipients reported being up to date for age on immunizations at the time of transplant.<sup>49</sup> Subspecialists and primary care physicians will need to partner together to develop new strategies and tools to increase immunization rates in this highly at-risk population.<sup>49,54</sup>

## Strengths and Limitations

Our findings must be interpreted in light of the strengths and weaknesses of our data source, the PHIS data set. The strengths of PHIS are (1) it is a geographically diverse database that represents a large portion of inpatient pediatric care in the United States, (2) it allows tracking of individual patients over time and multiple hospitalizations, and (3) the database contains demographic, diagnosis, procedural, and disposition information. However, as with all administrative databases, the accuracy of the data are dependent on proper diagnostic and procedural coding. To improve the validity of our study, we chose not to include broad diagnostic codes that may potentially have represented individuals with a non-vaccine-preventable infection (ie, pneumonia or bronchiolitis) and only included ICD codes that specifically identified a VPI (ie, pneumococcal pneumonia or RSV bronchiolitis). Our study likely underestimates the true incidence, morbidity, mortality, and costs that result from RSV/VPI as (1) PHIS does not capture outpatient data, (2) PHIS does not include every transplant center in the United States and is more likely to represent large tertiary care centers (for example, during 2010-2012, PHIS centers performed 56% of all pediatric liver transplants done in the United States<sup>55</sup>), (3) PHIS does not capture data on patients who received transplants at a PHIS center but were subsequently hospitalized with RSV/VPI at a non-PHIS center, and (4) we were conservative in our inclusion factors and did not include individuals with broad diagnosis codes (such as pneumonia), which may have potentially represented a VPI.

The largest limitation of this study is that PHIS in its current form does not capture immunization delivery or markers of immune response to vaccines (ie, antibody titers). Therefore, it is not possible for us to determine whether individuals hospitalized for RSV/VPI had received vaccines against the infection for which they were hospitalized nor to know

whether their immunity had waned secondary to immunosuppressive medications. Currently, this data only exist at the individual-center level. In future studies, it will be important to set up multicenter vaccine registries for transplant candidates and recipients to follow (1) pretransplant and posttransplant immune responses to vaccine administration, (2) posttransplant markers of immune memory on different levels of immunosuppressive medications (eg, antibodies, B-cell and T-cell markers), and (3) occurrence of VPIs (including outpatient cases).

Finally, we are not definitively able to say that morbidity, mortality, and costs of hospitalization were due specifically to RSV/VPI. However, 90% of the patients hospitalized with RSV/VPI outside of initial transplant hospitalization had a primary diagnosis code consistent with RSV/VPI as opposed to allograft dysfunction or a vascular problem; therefore, we feel confident that most of these hospitalizations were due to RSVs/VPIs (not RSVs/VPIs).

## Conclusions

Hospitalizations for RSV/VPIs are common after pediatric solid organ transplantation. These hospitalizations result in morbidity, mortality, and increased hospital costs. Young age at transplant and heart, lung, intestine, and multivisceral transplant increase the risk of RSV/VPI in the first 5 years after transplant. Further research is necessary to understand and prevent RSVs/VPIs in the transplant population including development of tools to improve immunization rates in the transplant population, practices to decrease nosocomial infectious spread during hospitalizations, and establishment of best methods to monitor response to immunization in the transplant population.

### ARTICLE INFORMATION

**Accepted for Publication:** November 13, 2018.

**Published Online:** January 14, 2019.

doi:10.1001/jamapediatrics.2018.4954

**Author Affiliations:** Digestive Health Institute, Section of Gastroenterology, Hepatology and Nutrition, Adult and Child Consortium for Health Outcomes Research and Delivery Science (ACCORDS), University of Colorado School of Medicine, Children's Hospital Colorado, Anschutz Medical Campus, Aurora (Feldman); Adult and Child Consortium for Health Outcomes Research and Delivery Science, University of Colorado School of Medicine, Children's Hospital Colorado, Anschutz Medical Campus, Aurora (Beaty, Juarez-Colunga); Section of Pediatric Infectious Diseases, Children's Hospital Colorado, University of Colorado Denver School of Medicine, Aurora (Curtis); Department of Biostatistics and Informatics, Colorado School of Public Health, Aurora (Juarez-Colunga); Department of Pediatrics, Adult and Child Consortium for Health Outcomes Research and Delivery Science, University of Colorado School of Medicine, Children's Hospital Colorado, Anschutz Medical Campus, Aurora (Kempe).

**Author Contributions:** Dr Feldman and Ms Beaty had full access to all of the data in the study and

take responsibility for the integrity of the data and the accuracy of the data analysis.

**Concept and design:** Feldman, Kempe.

**Acquisition, analysis, or interpretation of data:** All authors.

**Drafting of the manuscript:** Feldman.

**Critical revision of the manuscript for important intellectual content:** All authors.

**Statistical analysis:** Feldman, Beaty, Juarez-Colunga.

**Obtained funding:** Feldman.

**Administrative, technical, or material support:** Feldman, Kempe.

**Supervision:** Kempe.

**Conflict of Interest Disclosures:** Dr Feldman was funded by the Children's Hospital Colorado Research Institute Research Scholar Award and the National Institutes of Health/National Center for Advancing Translational Sciences Colorado (CTSA KL2 TR002534). Dr Curtis received funding from Sanofi Pasteur for a separate high-dose influenza vaccine study.

### REFERENCES

1. Fishman JA. Infection in solid-organ transplant recipients. *N Engl J Med*. 2007;357(25):2601-2614. doi:10.1056/NEJMra064928

2. Kumar D, Humar A, Plevneshi A, et al; Toronto Invasive Bacterial Diseases Network. Invasive pneumococcal disease in solid organ transplant recipients: 10-year prospective population surveillance. *Am J Transplant*. 2007;7(5):1209-1214. doi:10.1111/j.1600-6143.2006.01705.x

3. Apalsch AM, Green M, Ledesma-Medina J, Nour B, Wald ER. Parainfluenza and influenza virus infections in pediatric organ transplant recipients. *Clin Infect Dis*. 1995;20(2):394-399. doi:10.1093/clinids/20.2.394

4. Madeleine MM, Finch JL, Lynch CF, Goodman MT, Engels EA. HPV-related cancers after solid organ transplantation in the United States. *Am J Transplant*. 2013;13(12):3202-3209. doi:10.1111/ajt.12472

5. Olarte L, Lin PL, Barson WJ, et al. Invasive pneumococcal infections in children following transplantation in the pneumococcal conjugate vaccine era. *Transpl Infect Dis*. 2017;19(1). doi:10.1111/tid.12630

6. Tran L, Hébert D, Dipchand A, Fecteau A, Richardson S, Allen U. Invasive pneumococcal disease in pediatric organ transplant recipients: a high-risk population. *Pediatr Transplant*. 2005;9(2):183-186. doi:10.1111/j.1399-3046.2005.00275.x

7. Kumar D, Michaels MG, Morris MI, et al; American Society of Transplantation H1N1 Collaborative Study Group. Outcomes from pandemic influenza A H1N1 infection in recipients of solid-organ transplants: a multicentre cohort study. *Lancet Infect Dis*. 2010;10(8):521-526. doi:10.1016/S1473-3099(10)70133-X
8. Fitts SW, Green M, Reyes J, Nour B, Tzakis AG, Kocoshis SA. Clinical features of nosocomial rotavirus infection in pediatric liver transplant recipients. *Clin Transplant*. 1995;9(3 pt 1):201-204.
9. McGregor RS, Zitelli BJ, Urbach AH, Malatack JJ, Gartner JC Jr. Varicella in pediatric orthotopic liver transplant recipients. *Pediatrics*. 1989;83(2):256-261.
10. Feldman AG, Sundaram SS, Beaty BL, Kempe A. Hospitalizations for respiratory syncytial virus and vaccine-preventable infections in the first 2 years after pediatric liver transplant. *J Pediatr*. 2017;182:232-238. doi:10.1016/j.jpeds.2016.12.021
11. Liu Y, Sun LY, Zhu ZJ, Lin W, Qu W, Zeng ZG. Measles virus infection in pediatric liver transplantation recipients. *Transplant Proc*. 2015;47(9):2715-2718. doi:10.1016/j.transproceed.2015.07.030
12. Feldman AG, Kempe A, Beaty BL, Sundaram SS; Studies of Pediatric Liver Transplantation (SPLIT) Research Group. Immunization practices among pediatric transplant hepatologists. *Pediatr Transplant*. 2016;20(8):1038-1044. doi:10.1111/ptr.12765
13. Feldman AG, Feudtner C, Kempe A. Reducing the underimmunization of transplant recipients. *JAMA Pediatr*. 2018;172(2):111-112.
14. Burroughs M, Moscona A. Immunization of pediatric solid organ transplant candidates and recipients. *Clin Infect Dis*. 2000;30(6):857-869. doi:10.1086/313823
15. Verma A, Wade JJ. Immunization issues before and after solid organ transplantation in children. *Pediatr Transplant*. 2006;10(5):536-548. doi:10.1111/j.1399-3046.2006.00527.x
16. Diana A, Posfay-Barbe KM, Belli DC, Siegrist CA. Vaccine-induced immunity in children after orthotopic liver transplantation: a 12-yr review of the Swiss national reference center. *Pediatr Transplant*. 2007;11(1):31-37. doi:10.1111/j.1399-3046.2006.00596.x
17. Ginsburg CM, Andrews W. Orthotopic hepatic transplantation for unimmunized children: a paradox of contemporary medical care. *Pediatr Infect Dis J*. 1987;6(8):764-765. doi:10.1097/00006454-198708000-00018
18. Dehghani SM, Shakiba MA, Ziaeyan M, et al. Vaccination status in pediatric liver transplant candidates. *Pediatr Transplant*. 2009;13(7):820-822. doi:10.1111/j.1399-3046.2009.01177.x
19. Funaki T, Shoji K, Miyata I, et al. Serostatus following live attenuated vaccination administered before pediatric liver transplantation. *Liver Transpl*. 2015;21(6):774-783. doi:10.1002/lt.24104
20. Keefe EB, Iwarson S, McMahon BJ, et al. Safety and immunogenicity of hepatitis A vaccine in patients with chronic liver disease. *Hepatology*. 1998;27(3):881-886. doi:10.1002/hep.510270336
21. Wu JF, Ni YH, Chen HL, Hsu HY, Lai HS, Chang MH. Humoral immunogenicity to measles, rubella, and varicella-zoster vaccines in biliary atresia children. *Vaccine*. 2009;27(21):2812-2815. doi:10.1016/j.vaccine.2009.02.094
22. Neu AM. Immunizations in children with chronic kidney disease. *Pediatr Nephrol*. 2012;27(8):1257-1263. doi:10.1007/s00467-011-2042-3
23. Eckerle I, Rosenberger KD, Zwahlen M, Junghans T. Serologic vaccination response after solid organ transplantation: a systematic review. *PLoS One*. 2013;8(2):e56974. doi:10.1371/journal.pone.0056974
24. Madan RP, Tan M, Fernandez-Sesma A, et al. A prospective, comparative study of the immune response to inactivated influenza vaccine in pediatric liver transplant recipients and their healthy siblings. *Clin Infect Dis*. 2008;46(5):712-718. doi:10.1086/527391
25. Blumberg EA, Albano C, Pruet T, et al. The immunogenicity of influenza virus vaccine in solid organ transplant recipients. *Clin Infect Dis*. 1996;22(2):295-302. doi:10.1093/clinids/22.2.295
26. Smith KG, Isabel NM, Catton MG, Leydon JA, Becker GJ, Walker RG. Suppression of the humoral immune response by mycophenolate mofetil. *Nephrol Dial Transplant*. 1998;13(1):160-164. doi:10.1093/ndt/13.1.160
27. Mazzone PJ, Mossad SB, Mawhorter SD, Mehta AC, Schilz RJ, Maurer JR. The humoral immune response to influenza vaccination in lung transplant patients. *Eur Respir J*. 2001;18(6):971-976. doi:10.1183/09031936.01.00215201
28. Agarwal N, Ollington K, Kaneshiro M, Frenck R, Melmed GY. Are immunosuppressive medications associated with decreased responses to routine immunizations? a systematic review. *Vaccine*. 2012;30(8):1413-1424. doi:10.1016/j.vaccine.2011.11.109
29. Byington CL, Wilkes J, Korgenski K, Sheng X. Respiratory syncytial virus-associated mortality in hospitalized infants and young children. *Pediatrics*. 2015;135(1):e24-e31. doi:10.1542/peds.2014-2151
30. Children's Hospital Association. PHIS+: augmenting the Pediatric Health Information System with clinical data. <http://grantome.com/grant/NIH/R01-HS019862-02>. Accessed December 10, 2018.
31. Feudtner C, Dai D, Hexem KR, Luan X, Metjian TA. Prevalence of polypharmacy exposure among hospitalized children in the United States. *Arch Pediatr Adolesc Med*. 2012;166(1):9-16. doi:10.1001/archpediatrics.2011.161
32. Keren R, Luan X, Localio R, et al; Pediatric Research in Inpatient Settings (PRIS) Network. Prioritization of comparative effectiveness research topics in hospital pediatrics. *Arch Pediatr Adolesc Med*. 2012;166(12):1155-1164. doi:10.1001/archpediatrics.2012.1266
33. Rondeau V. Statistical models for recurrent events and death: application to cancer events. *Math Comput Model*. 2010;52(7-8):949-955. doi:10.1016/j.mcm.2010.02.002
34. Rogers JK, Yaroshinsky A, Pocock SJ, Stokar D, Pogoda J. Analysis of recurrent events with an associated informative dropout time: application of the joint frailty model. *Stat Med*. 2016;35(13):2195-2205. doi:10.1002/sim.6853
35. Gourishankar S, McDermid JC, Jhangri GS, Preiksaitis JK. Herpes zoster infection following solid organ transplantation: incidence, risk factors and outcomes in the current immunosuppressive era. *Am J Transplant*. 2004;4(1):108-115. doi:10.1046/j.1600-6143.2003.00287.x
36. Hall CB, Weinberg GA, Iwane MK, et al. The burden of respiratory syncytial virus infection in young children. *N Engl J Med*. 2009;360(6):588-598. doi:10.1056/NEJMoa0804877
37. Poehling KA, Edwards KM, Griffin MR, et al. The burden of influenza in young children, 2004-2009. *Pediatrics*. 2013;131(2):207-216. doi:10.1542/peds.2012-1255
38. Shang M, Blanton L, Brammer L, Olsen SJ, Fry AM. Influenza-associated pediatric deaths in the United States, 2010-2016. *Pediatrics*. 2018;141(4):e20172918. doi:10.1542/peds.2017-2918
39. Rolfes MA, Foppa IM, Garg S, et al. Annual estimates of the burden of seasonal influenza in the United States: a tool for strengthening influenza surveillance and preparedness. *Influenza Other Respir Viruses*. 2018;12(1):132-137. doi:10.1111/irv.12486
40. Griffin MR, Zhu Y, Moore MR, Whitney CG, Grijalva CGUS. US hospitalizations for pneumonia after a decade of pneumococcal vaccination. *N Engl J Med*. 2013;369(2):155-163. doi:10.1056/NEJMoa1209165
41. Shah MP, Dahl RM, Parashar UD, Lopman BA. Annual changes in rotavirus hospitalization rates before and after rotavirus vaccine implementation in the United States. *PLoS One*. 2018;13(2):e0191429. doi:10.1371/journal.pone.0191429
42. Fischer TK, Viboud C, Parashar U, et al. Hospitalizations and deaths from diarrhea and rotavirus among children <5 years of age in the United States, 1993-2003. *J Infect Dis*. 2007;195(8):1117-1125. doi:10.1086/512863
43. Viehman JA, Clancy CJ, Clarke L, et al. Surgical site infections after liver transplantation: emergence of multidrug-resistant bacteria and implications for prophylaxis and treatment strategies. *Transplantation*. 2016;100(10):2107-2114. doi:10.1097/TP.0000000000001356
44. Dorschner P, McElroy LM, Ison MG. Nosocomial infections within the first month of solid organ transplantation. *Transpl Infect Dis*. 2014;16(2):171-187. doi:10.1111/tid.12203
45. Dorschner PB, Ison MG. Early nosocomial infections: a large knowledge gap in need of research to improve outcomes. *Transplantation*. 2016;100(10):2018-2019. doi:10.1097/TP.0000000000001357
46. Rubin LG, Levin MJ, Ljungman P, et al; Infectious Diseases Society of America. 2013 IDSA clinical practice guideline for vaccination of the immunocompromised host. *Clin Infect Dis*. 2014;58(3):e44-e100. doi:10.1093/cid/cit684
47. Weinberg A, Horslen SP, Kaufman SS, et al. Safety and immunogenicity of varicella-zoster virus vaccine in pediatric liver and intestine transplant recipients. *Am J Transplant*. 2006;6(3):565-568. doi:10.1111/j.1600-6143.2005.01210.x
48. Posfay-Barbe KM, Pittet LF, Sottas C, et al. Varicella-zoster immunization in pediatric liver transplant recipients: safe and immunogenic. *Am J Transplant*. 2012;12(11):2974-2985. doi:10.1111/j.1600-6143.2012.04273.x
49. Feldman AG, Kempe A, Beaty BL, Sundaram SS; Studies of Pediatric Liver Transplantation (SPLIT) Research Group. Immunization practices among pediatric transplant hepatologists. *Pediatr Transplant*. 2016;20(8):1038-1044. doi:10.1111/ptr.12765
50. Englesbe MJ, Kelly B, Goss J, et al. Reducing pediatric liver transplant complications: a potential

roadmap for transplant quality improvement initiatives within North America. *Am J Transplant*. 2012;12(9):2301-2306. doi:10.1111/j.1600-6143.2012.04204.x

51. Englesbe MJ, Pelletier SJ, Kheterpal S, O'Reilly M, Campbell DA Jr. A call for a national transplant surgical quality improvement program. *Am J Transplant*. 2006;6(4):666-670. doi:10.1111/j.1600-6143.2006.01267.x

52. Berger C, Bochud PY, Boggian K, et al; Transplant Infectious Diseases Working Group, Swiss Transplant Cohort Study. The Swiss transplant cohort study: lessons from the first 6 years. *Curr Infect Dis Rep*. 2015;17(6):486. doi:10.1007/s11908-015-0486-5

53. San Juan R, Aguado JM, Lumbreras C, et al; RESITRA Network, Spain. Incidence, clinical characteristics and risk factors of late infection in solid organ transplant recipients: data from the

RESITRA study group. *Am J Transplant*. 2007;7(4):964-971. doi:10.1111/j.1600-6143.2006.01694.x

54. Feldman AG, Feudtner C, Kempe A. Reducing the underimmunization of transplant recipients. *JAMA Pediatr*. 2018;172(2):111-112. doi:10.1001/jamapediatrics.2017.3990

55. UNOS Center Data. 2018; <https://optn.transplant.hrsa.gov/data/view-data-reports/center-data/>.